

B-4458PCT 619452-2

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

no 10/032000  
not yet assignedINTERNATIONAL APPLICATION NO.  
PCT/GB01/02291INTERNATIONAL FILING DATE  
23 May 2001PRIORITY DATE CLAIMED  
24 May 2000

TITLE OF INVENTION

"LOCATION-BASED DATA ACCESS CONTROL"

APPLICANT(S) FOR DO/EO/US

(1) James Thomas Edward McDonnell (2) Andrew Thomas (3) John Deryk Waters (4) Simon Edwin Crouch (5) Paul Vickers

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed and as published.
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment with Appendix A, Appendix B, and Appendix C.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
Copy of PCT Request  
Claim to Priority  
copy of International Search Report and copy of Great Britain Search Report  
(see Information Disclosure Statement (IDS))

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) not yet assigned		INTERNATIONAL APPLICATION NO. PCT/GB01/02291	ATTORNEY'S DOCKET NUMBER B-4458PCT 619452-2	
<b>21. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 890.00	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	\$
Total claims	12 - 20 =	0	x \$18.00	\$ 0
Independent claims	2 - 3 =	0	x \$84.00	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0*			+ \$280.00	\$ 0
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$ 890.00	
<b>SUBTOTAL =</b>			\$ 890.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$	
<b>TOTAL NATIONAL FEE =</b>			\$ 890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	
<b>TOTAL FEES ENCLOSED =</b>			\$ 890.00	
			<b>Amount to be refunded:</b>	\$
			<b>charged:</b>	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 890.00 to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-0415</u> . A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: January 22, 2002				
DATE			SIGNATURE	
LADAS & PARRY			Richard P. Berg	
5670 Wilshire Boulevard, Suite 2100			NAME	
Los Angeles, California 90036-5679			28,145	
			REGISTRATION NUMBER	
Telephone: (323) 934-2300 Facsimile: (323) 934-0202				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James T.E. McDonnell, ) Re: Claim To Priority  
et al. )  
U.S. Appln. No.: not yet ) Group: not yet assigned  
assigned )  
U.S. Filing Date: concurrently ) Examiner: not yet assigned  
herewith )  
International Application No: )  
PCT/GB01/02291 )  
International Filing Date: )  
23 May 2001 ) Our Ref.: B-4458PCT 619452-2  
For: "LOCATION-BASED DATA )  
ACCESS CONTROL" ) Date: January 22, 2002

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

35 U.S.C. 119 CLAIM TO PRIORITY

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

Sir:

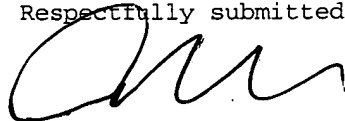
Prior PCT International Application No. PCT/GB01/02291,  
designating the U.S., claims foreign priority as follows:

<u>COUNTRY</u>	<u>FILING DATE</u>	<u>SERIAL NUMBER</u>
Great Britain	24 May 2000	0012445.3

A certified copy has been filed in prior PCT International Patent  
Application No. PCT/GB01/02291.

Applicants hereby confirm that this claim for priority applies to  
the above-identified U.S. International Stage Application.

Respectfully submitted,



Richard P. Berg  
Reg. No. 28,145  
Attorney for Applicant  
LADAS & PARRY  
5670 Wilshire Boulevard #2100  
Los Angeles, California 90036  
(323) 934-2300

10/032000  
531 Rec 22 JAN 2002

IN THE UNITED STATES DESIGNATED OFFICE DO/US

REGARDING THE INTERNATIONAL APPLICATION OF:  
James Thomas Edward McDonnell, et al.  
ENTITLED: "LOCATION-BASED DATA ACCESS CONTROL"  
INTERNATIONAL APPLN. NO. PCT/GB01/02291  
Our Ref: B-4458PCT 619452-2

Commissioner of Patents and Trademarks  
BOX PCT  
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL652176463US

Date of Deposit: January 22, 2002

I hereby certify that the following attached papers or fee

- postcard
- check for \$890.00
- Transmittal Letter (2 pages)
- Preliminary Amendment (23 pages) with Appendix A (19 pages),  
Appendix B (1 page), and Appendix C (1 page)
- copy of PCT International Application as filed  
and as published consisting of:
  - Title Page with Abstract (1 page)
  - Specification (16 pages)
  - Claims (5 pages)
  - Drawings (4 sheets)
- Copy of PCT Request (4 pages)
- Claim to Priority (1 page)
- Information Disclosure Statement (IDS) (2 pages)  
and Form PTO-1449 (modified) with copy of International  
Search Report (8 pages), copy of Great Britain Search  
Report (1 page); and copies of documents cited in IDS

are being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service with sufficient postage under  
37 CFR 1.10 on the date indicated above in an envelope addressed to  
the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C.  
20231, Attn: United States Designated/Elected Office (DO/EO/US).

Karyn Lao

(Typed or printed name of person mailing paper or fee)

